

CALL FOR CAPITALISATION PROJECTS

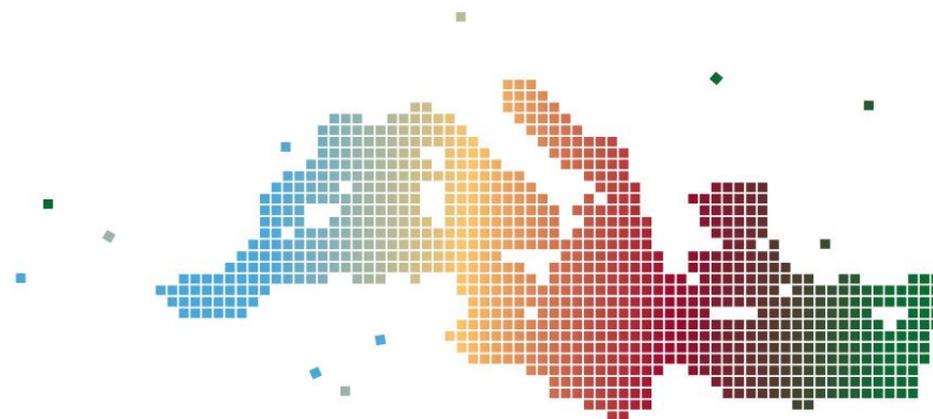
# #ONEMED

MAKING RESULTS AND KNOWLEDGE AVAILABLE  
ACROSS THE **MEDITERRANEAN** REGION



## Call for capitalisation projects

*Focus on the Guidelines for Grant Applicants*



# Main reference documents

- ❑ Call for proposals
- ❑ Guidelines for grant Applicants
- ❑ Terms of reference and annexes
- ❑ Note on personal data processing
- ❑ Model of the Grant Contract
- ❑ Indicative model of Partnership Agreement
- ❑ Note on State Aid and self assessment grid
- ❑ Note on eligible costs



# Main features

- ❑ Open to 11 Programme's Priorities
- ❑ ENI funds allocated: € 11.000.000,00\*
- ❑ Indicative EU contribution per priority: € 1.000.000,00
- ❑ Partnership: Min. 5 different organisations (at least 1 EUMC and 1 MPC)
- ❑ Project duration: 12, 18 OR 24 months

\* The EU contribution might be increased to € 15.245.441,12, following the positive conclusion of the procedure to review the budget allocation started at Programme level



# Priorities and financial allocation

## TO 1 - Business and SMEs development

Priorities (synthesis)	Indicative EU contribution	Co-financing (at least 10% of the total funding)	Total
A.1.1 - Support innovative start-up and recently established enterprises	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11
A.1.2 - Strengthen and support euro-Mediterranean networks, clusters, consortia and value-chains in traditional sectors and non-traditional sectors	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11
A.1.3 - Encourage sustainable tourism initiatives and actions	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11



# Priorities and financial allocation

## TO 2 - Support to education, research, technological development and innovation

Priorities (synthesis)	Indicative EU contribution	Co-financing (at least 10% of the total funding)	Total
A.2.1 - Support technological transfer and commercialisation of research results	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11
A.2.2 - Support SMEs in accessing research and innovation, also through clustering	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11



# Priorities and financial allocation

## TO 3 - Promotion of social inclusion and the fight against poverty

Priorities (synthesis)	Indicative EU contribution	Co-financing (at least 10% of the total funding)	Total
A.3.1: Provide young people, especially those belonging to the NEETS, and women, with marketable skills	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11
A.3.2: Support social and solidarity economic actors	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11



# Priorities and financial allocation

## TO 4 - Environmental protection, climate change adaptation and mitigation

Priorities (synthesis)	Indicative EU contribution	Co-financing (at least 10% of the total funding)	Total
B.4.1: Water management - Support sustainable initiatives targeting innovative and technological solutions to increase water efficiency	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11
B.4.2: Waste treatment and recycling - Reduce municipal waste generation, promote source-separated collection and its optimal exploitation	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11
B.4.3: Renewable energy and energy efficiency - Support cost-effective and innovative energy rehabilitations relevant to building types and climatic zones, with a focus on public buildings	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11
B.4.4: Integrated Coastal Zone Management - Incorporate the Ecosystem-Based management approach to ICZM into local development planning	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11



# Aim and Focus of capitalisation projects

## ➔ In the Joint Operational Programme

Capitalisation projects “will promote the exploitation and/or widest dissemination of the successful practices and results of previously financed projects”.

## ➔ In the Terms of Reference

Capitalisation projects are expected to capture the most promising short-term results (outputs) and long-term results (outcomes) of the projects and initiatives identified in the ToRs and contribute to one or more of the following objectives:

1. Transfer and exploitation of results
2. Reinforcement of networks
3. Strategic dissemination and awareness of public authorities and policy-makers



# Admission requirements Chap. 4 GfA

- ➔ Eligible territories
- ➔ Financial dimension
- ➔ Specific requirements
- ➔ Eligibility of Applicant and Partners
- ➔ Eligibility of budget costs



# Geographical eligibility (par. 4.1 GfA)

## Participating countries

- **EUMCs:** Cyprus, France, Greece, Italy, Malta, Portugal, Spain.
- **MPCs:** Algeria\*, Egypt, Jordan, Israel, Lebanon, Palestine, Tunisia.

## General rules (par. 4.3.1):

- **Applicants and Partners must be located in the eligible territories of the participating countries ONLY (max. 3 from the same country)** - see table eligible and adjoining regions par.4.1 GfA
- Organisations established in adjoining regions **cannot** participate **as Applicants**, but only **as partners**. For the list of adjoining regions see table par.4.1 GfA. Financial limitations also apply (see below).

\* Algeria must still sign the Financing Agreement with the EC. Check updates on the signature at: [www.enicbcmmed.eu](http://www.enicbcmmed.eu)



# Geographical eligibility (par. 4.1 GfA): activities

## General Rule

Project activities **must be implemented** in the eligible territories of the countries represented in the partnership

## Exceptions for geographical activities implementation

- ❑ Only if partners coming from adjoining territories are involved, project activities **may** take place in these areas
- ❑ Activities outside the eligible **area allowed on a case by case basis** by considering their added value to be clearly justified in the Application Form.



# Specific rules geographical eligibility (par. 4.3.1 GfA)

Participation of **International Organisations** is allowed



**only** if having operational offices in eligible area (do not count for min. and max. geographical criteria par. 4.4)

Participation of **Ministries and national PAs outside eligible territories** participating countries is allowed



if added value is demonstrated and activities are implemented through local offices if available



# Specific rules geographical eligibility (par. 4.3.1 GfA)

Participation of organisations having **headquarters out of eligible area** but **decentralized registered office** or structure in the eligible regions is allowed



if established **at least 2 years before the deadline** for submission the Application Form

**and**

**have proved capacity** to undertake legal obligations and assume financial responsibility.

**Applicants and partners based in Lisbon region**



may apply only under the Overarching Objective 1



## Legal status of Applicants and Partners (par. 4.3.2 GfA)

- ❑ **Public bodies** (national and local administrations, other public bodies)
- ❑ **Bodies governed by public law** as defined by art. 2(4) of Directive 2014/24/EU (in MPCs those obliged to follow national rules check with NCP)
- ❑ **Private Bodies** (enterprises, NGOs, associations and other no profit, etc.).

N.B private bodies shall be established at least **for 2 years** from the launching date of the call to participate.

- ❑ **International Organisations** with limitations set up by intergovernmental agreements and accepting specific programme obligations through a **specific declaration to be submitted.**



# Participation out of the partnership (par. 4.3.2 GfA)

## Entities not acting as Applicants and Partners:

- ❑ **Associates** ➡ organisations involved in the project but **they cannot receive project funding** (travel and subsistence paid by the Applicant or PP) or participate in procurement procedures launched by the project (ex. as subcontractors). No geographical requirements but a specific form to be submitted for proving their involvement.
- ❑ **Subcontractors** ➡ some activities can be outsourced by Applicant and Partners **but not the whole project implementation** (bulk of the project). Applicant and Partners **cannot be sub-contracted** by themselves.
- ❑ **Sub-grantees** ➡ recipients of the sub-grants as **natural or legal persons** resident or established in participating countries applicant and partners (max. 60.000 each for a total of 30% project direct costs).



# Participation Specific Requirements (par.4.4 GfA)

## Conditions to be met

1. A proposal shall address only one (1) of the Priorities opened under this call for proposals even if there may be cross-over effects with other Priorities
2. The same organisation can participate **once as Applicant under each Thematic Objective**
3. No limitation for the participation as partner
4. Max. 2 grants (those highest ranked) financed to same Applicant

**Example:** the same university (considered as ONE entity regardless the independence of different departments) can submit **a maximum of 4 proposals** as Applicant (one per TO) but in the event all 4 proposals are selected, **only the best 2 will be financed.**



# Projects Financial Dimension

- ❑ Minimum EU contribution → € 0.5 M
- ❑ Maximum contribution → € 1.0 M
- ❑ Maximum total project costs → € 1.1 M

**BUT**

Max EU contribution **90%** of the total eligible costs.

Min. co-financing **10%** of the total eligible costs

## EXAMPLE:

TOTAL project costs	€ 800.000
ENI contribution:	€ 680.000 (85%)
Project Co-financing:	€ 120.000 (15%)



# Specific Financial Requirements (par. 4.4.3 GfA)

At least 50% of the total direct costs shall be dedicated to activities to be implemented in MPCs territories either through



- a) allocating **at least 50%** of the budgeted direct costs to **MPCs Partners**
- or
- b) allocating less than 50% of direct costs to MPCs partners but the EU partners shall justify the difference to reach the said 50% by using their direct costs to implement activities in MPCs

## EXAMPLE

TOTAL direct project costs = € 800.000

- a) At least € 400.000 (50%) directly allocated to MPCs
- b) € 240.000 (30%) allocated to MPCs and € 160.000 (20%) managed by EU PPs to implement activities in MPCs ( **neither travel nor staff costs allowed!**).



# Specific Financial Requirements (par. 4.4.3 GfA)

- ❑ A maximum of **35%** of the total direct costs can be allocated to a **single organization**
- ❑ The budget dedicated to **Cost Category 1 “Human Resources”** cannot be higher than 40% of the total direct costs
- ❑ The amount of financial resources devoted to the participation of partners from **adjoining regions shall not exceed 20%** of the total direct budgeted costs of a project



# Ineligible Proposals (par. 4.6 GfA)

**Project proposals concerning only or mainly the following activities are ineligible**



individual sponsorships for participation in workshops, seminars, conferences, congresses; individual scholarships for studies or training courses etc.



# Exclusion situations (par. 4.7 GfA)

**Applicants and PPs organisations will be excluded from the call for proposals or from the grant if:**

they are bankrupt, subject to insolvency or winding-up procedures, fraud, corruption, conflict of interest, etc. (see exclusion situations under EU Financial Regulation No 1046/2018, article 136 and article 141 )

**Specific cases:** failure to reimburse sums to the MA and/or EC; attempts to obtain confidential information or to influence the selection process



# Mandatory documents to be submitted

## Uploaded through the eAF:

- ❑ Declaration by the Applicant
- ❑ Partner Statement to be provided by each partner (regardless their legal status)
- ❑ International Organisation declaration (as the case may be)
- ❑ Associated partner declaration (as the case may be)
- ❑ Administrative costs justification (one file including info of the whole partnership)
- ❑ State aid self assessment check grid (one file merging the single files of the partners for which the state aid info is needed)

